

STATEMENT OF PROFESSIONAL STANDING

This is to verify that:

Dale Andrew Smith

holds a qualification that is listed within the Appropriate Qualifications section of the FCA (Financial Conduct Authority) Handbook, complies with the FCA rules for Continuing Professional Development and FCA's Statements of Principle for Approved Persons (APER) / code of conduct (COCON)

This SPS is valid for the period 18 October 2018 - 17 October 2019



Brian Wilkinson, Director, Corporate and Professional Qualifications

Verification number: 53233

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